

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kenneth Kiron et al.
Serial No.: 09/839888
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Title: OPEN END MUTUAL FUND
SECURITIZATION PROCESS

Examiner: Thu Thao Havan
Art Unit: 3693
Confirmation No.: 5617
Attorney Ref.: 21240.01US5

RESPONSE TO OFFICE ACTION MAILED MARCH 17, 2009

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

This is a Reply to the Office Action mailed March 17, 2009. The original period of response to the Office Action is a shortened statutory period of three (3) months from the mailing date. Accordingly, the original time period for response is June 17, 2009. As evidenced by the Certificate of Electronic Transmission, this Reply is being timely submitted within the period for reply.

Applicants respectfully request reconsideration and allowance of the pending claims in the present Application in view of the Amendments and Remarks below.

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 15 of this paper.

Certificate of Electronic Transmission Under 37 CFR §1.8:

I hereby certify that this correspondence is being transmitted to the United States Patent and Trademark Office using the EFS-Web System on May 12, 2009.

By:/Marianne A. Hall
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